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# Query Transformations

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#### About the Speaker

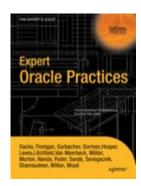
#### Jože Senegačnik

- Located in Slovenia
- Registered private researcher
- First experience with Oracle Version 4 in 1988
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### Agenda

Introduction

Query Transformations

Conclusions

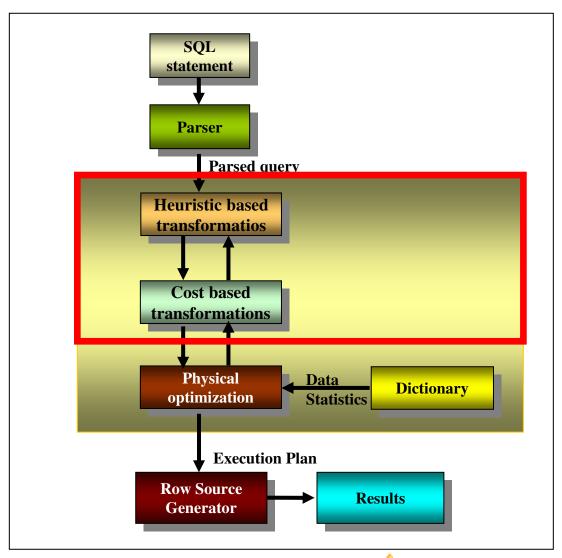


#### Cost Based Optimizer Trace (event 10053)

- The following abbreviations are used in the optimizer trace:
  - JPPD join predicate push-down
  - OJPPD old-style (non-cost-based) JPPD
  - FPD filter push-down
  - PM predicate move-around
  - CVM complex view merging
  - SPJ select-project-join
  - SJC set join conversion
  - SU subquery unnesting
  - OBYE order by elimination
  - OST old style star transformation
  - ST new (cbqt) star transformation
  - CNT count(col) to count(\*) transformation
  - JE Join Elimination
  - JF join factorization
  - SLP select list pruning
  - DP distinct placement



# SQL Statement Processing



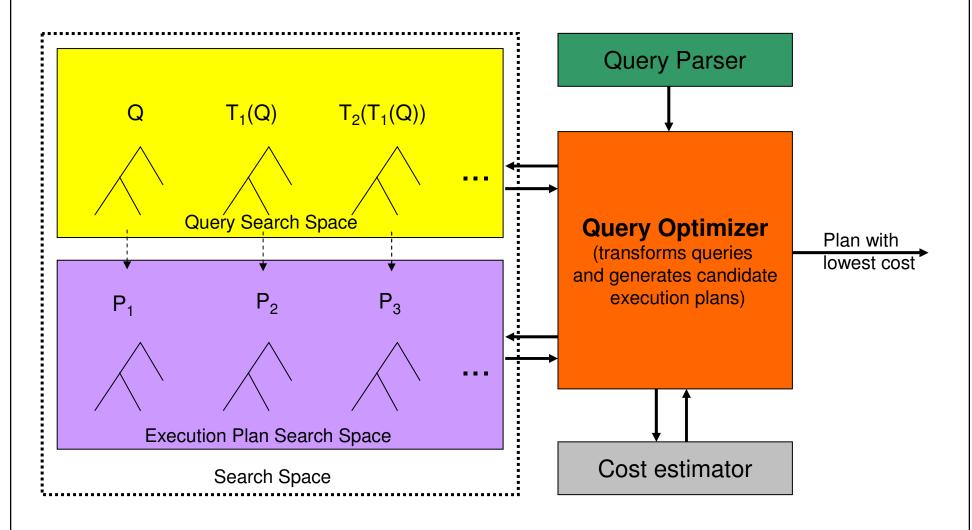


#### **Query Optimization**

- Query optimization is performed in two phases
  - **Logical optimization** (query transformation)
  - **Physical optimization** finds information
    - Possible access method to every table (full scan, index lookup,...)
    - Possible join method for every join (HJ, SM, NL)
    - Join order for the query tables (join(join(A,B), C)



#### **Query Optimization**





### Why Query Transformations?

- The goal of transformation is to enhance the query performance.
- Transformation generates <u>semantically</u> equivalent form of statement, which produces the same results, but significantly differs in performance.
- Transformation rely on algebraic properties that are not always expressible in SQL, e.g, anti-join and semi-join.



#### **Transformations**

- CBO supports different approaches:
  - Automatic which always produce a faster plan
  - Heuristic-based
    - Prior to 10gR1
    - Assumption produce faster plan most of the time
    - User has to set parameters or use hints
  - Cost-based
    - Since 10gR1
    - Transformation does not always produce a faster query
    - Query optimizer costs non transformed query and transformed query and picks the cheapest form
    - No need to set parameters or use hints
- Transformation may span more than one query block



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# **Query Transformations**





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# SU - Subquery Unnesting



#### SU - subquery unnesting

- Original may be sub-optimal because of multiple, redundant re-evaluation of the sub-query
- Un-nesting
  - sub-query converted into an inline view connected using a join, then merged into the outer query
  - Enables new access paths, join orders, join methods (anti-/semijoin)
- A wide variety of un-nesting
  - Any (IN), All (NOT IN), [NOT] EXISTS, correlated, uncorrelated, aggregated, group by
- Some are automatic; what used to be heuristic-based is cost-based since Oracle10g
- Related optimizer hints: UNNEST, NO UNNEST



#### SU - unnesting NOT EXISTS

```
SELECT c.cust_id, c.cust_first_name, c.cust_last_name
FROM customers c
WHERE NOT EXISTS
   (SELECT 1
    FROM orders o
    WHERE o.cust_id = c.cust_id);

SELECT c.cust_id, c.cust_first_name, c.cust_last_name
FROM customers c, orders o
WHERE c.cust_id A= o.cust_id;
```



#### **Execution Plan for NOT EXISTS**

```
SQL> select cust_id,cust_first_name,cust_last_name from customers c where not exists ( select 1 from sales s where s.cust_id = c.cust_id);
```

```
| Id | Operation | Name | |
| 0 | SELECT STATEMENT | | |
|* 1 | HASH JOIN ANTI | | |
| 2 | TABLE ACCESS FULL | CUSTOMERS |
| 3 | PARTITION RANGE ALL | | |
| 4 | BITMAP CONVERSION TO ROWIDS | |
| 5 | BITMAP INDEX FAST FULL SCAN SALES_CUST_BIX |

Predicate Information (identified by operation id):

1 - access("S"."CUST_ID"="C"."CUST_ID")
```

Tables are from SH demo schema.



#### Excerpt from CBO Trace

```
Cost-Based Subquery Unnesting
$\text{$\frac{1}{2}$} \text{$\frac{1}{2}$} \text{$\
SU: Unnesting query blocks in query block SEL$1 (#1)
                  that are valid to unnest.
                  Subquery Unnesting on query block SEL$1
(#1)SU: Performing unnesting that does not require costing.
SU: Considering subquery unnest on query block SEL$1 (#1).
SU: Checking validity of unnesting subquery SEL$2 (#2)
SU: Passed validity checks.
SU: Unnesting subquery query block SEL$2
(#2)SU: Transform ALL/NOTEXISTS subquery into a regular
           antijoin.
Registered qb: SEL$5DA710D3 0x211bdab0 (SUBQUERY UNNEST
           SEL$1; SEL$2)
```



#### SU - unnesting EXISTS

```
SELECT c.cust_id, c.cust_first_name, c.cust_last_name
FROM customers c
WHERE EXISTS
   (SELECT 1
    FROM orders o
   WHERE o.cust_id = c.cust_id);
```



```
SELECT c.cust_id, c.cust_first_name, c.cust_last_name FROM customers c, orders o WHERE c.cust_id S= o.cust_id;
```



#### **Execution Plan for EXISTS**

```
SQL> select cust_id,cust_first_name,cust_last_name from customers c where exists ( select 1 from sales s where s.cust_id = c.cust_id);
```

```
| Id | Operation | Name |
| 0 | SELECT STATEMENT | |
|* 1 | HASH JOIN SEMI | CUSTOMERS |
| 2 | TABLE ACCESS FULL | CUSTOMERS |
| 3 | PARTITION RANGE ALL | |
| 4 | BITMAP CONVERSION TO ROWIDS | |
| 5 | BITMAP INDEX FAST FULL SCAN | SALES_CUST_BIX |

Predicate Information (identified by operation id):

1 - access("S"."CUST_ID"="C"."CUST_ID")
```

Tables are from SH demo schema.



#### Excerpt from CBO Trace

```
********
Cost-Based Subquery Unnesting
*******
SU: Unnesting query blocks in query block SEL$1 (#1) that
   are valid to unnest.
Subquery Unnesting on query block SEL$1
(#1)SU: Performing unnesting that does not require costing.
SU: Considering subquery unnest on query block SEL$1 (#1).
SU: Checking validity of unnesting subquery SEL$2 (#2)
SU: Passed validity checks.
SU: Transforming EXISTS subquery to a join.
```



#### SU - unnesting aggregated sub-query

```
SELECT distinct p.prod_id, p.prod_name
FROM products p, sales s
WHERE p.prod_id = s.prod_id
AND s.quantity_sold < (SELECT AVG (quantity_sold)
                         FROM sales
                         WHERE prod_id = p.prod_id);
SELECT distinct p.prod id, p.prod name
FROM products p, sales s,
   (SELECT AVG (quantity sold) as avggnt, prod id
    FROM sales
    GROUP BY prod id) v
WHERE p.prod id = s.prod id
AND s.quantity sold < v.avgqnt
AND v.prod id = s.prod id;
```



#### Execution Plan for Un-transformed Query

```
| Id | Operation
                                            Name
       SELECT STATEMENT
       FILTER
       HASH JOIN
         TABLE ACCESS FULL
                                              PRODUCTS
       PARTITION RANGE ALL
           TABLE ACCESS FULL
                                              SALES
      SORT AGGREGATE
      PARTITION RANGE ALL
        TABLE ACCESS BY LOCAL INDEX ROWID | SALES
          BITMAP CONVERSION TO ROWIDS
      BITMAP INDEX SINGLE VALUE
                                            | SALES_PROD_BIX|
Predicate Information:
1 - filter("S"."QUANTITY_SOLD"<)</pre>
2 - access("P"."PROD_ID"="S"."PROD_ID")
10 - access("PROD_ID"=:B1)
```



#### **Execution Plan for Transformed Query**

1   HASH UNIQUE	3615   3615   3615   6104   72   72   918K  918K  918K

Predicate Information (identified by operation id):

```
2 - access("P"."PROD_ID"="S"."PROD_ID")
```

<sup>4 -</sup> access("V"."PROD\_ID"="S"."PROD\_ID")
 filter("S"."QUANTITY\_SOLD"<"V"."AVGQNT")</pre>



#### What CBO Really Does is ...



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#### FPD - Filter Push Down



#### FPD – Filter Push Down (1)

```
select distinct c4
from

    (select /*+ no_merge */ c4, count(*) cnt
    from t1 group by c4) a

where a.cnt > 100
```

Id   Operation	Name	Rows	Bytes	Cost	Time
0	           T1		13     3     3000	4   4	00:00:01     00:00:01     00:00:01

Predicate Information:

2 - filter(COUNT(\*)>100)

a.cnt > 100 is pushed inside subquery



#### FPD – Filter Push Down (2)

Excerpt from CBO trace

```
*****************************
COST-BASED QUERY TRANSFORMATIONS
*******************************
FPD: Considering simple filter push (pre rewrite) in query block SEL$1 (#0)
FPD: Current where clause predicates "A"."CNT">100

try to generate transitive predicate from check constraints for query block SEL$1 (#0)
finally: "A"."CNT">100

FPD: Following are pushed to having clause of query block SEL$2 (#0)
COUNT(*)>100
FPD: Considering simple filter push (pre rewrite) in query block SEL$2 (#0)
FPD: Current where clause predicates ??
```



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# View Merging



#### View Merging

- Views are created for several reasons
  - Security
  - Abstraction (factorize same work performed by many queries)
  - Describe business logic
- However, they are used in different contexts
  - Filter on a view column
  - Join to tables or other views
  - Order by or group by on view column(s)
- View merging
  - Allows optimizer to explore more plans, e.g, enabled access paths or consider more join orders



#### View Merging

- Simple view
  - Select-Project-Join
  - Merged automatically as it is always better.
- Complex view
  - Aggregation / group by, distinct, or outer-join
  - Complex view merging was heuristic-based;
  - It is cost-based in 10g
- In the following examples, in-line views are used to make it easy to see the view definition.
- All optimizations related to views apply to both inline views and user-defined views.



#### Select-Project-Join View Merging

```
FROM t1, t2, (SELECT t3.z, t4.m

FROM t3, t4

WHERE t3.k = t4.k AND t4.q = 5) v

WHERE t2.p = t1.p AND t2.m = v.m;

SELECT t1.x, t3.z

FROM t1, t2, t3, t4

WHERE t2.p = t1.p AND t2.m = t4.m AND t3.k = t4.k AND t4.q = 5;
```



SELECT t1.x, v.z

#### CVM - complex view merging

```
SELECT e1.last_name, e1.salary, v.avg_salary
    FROM employees e1,
    (SELECT department_id, avg(salary) avg_salary
        FROM employees e2
        GROUP BY department_id) v
WHERE e1.department_id = v.department_id
AND e1.salary > v.avg_salary;
```



```
SELECT e1.last_name last_name,

e1.salary salary, avg(e2.salary) avg_salary

FROM hr.employees e1, hr.employees e2

WHERE e1.department_id = e2.department_id

GROUP BY e2.department_id,e1.rowid,e1.salary,e1.last_name

HAVING e1.salary > avg(e2.salary)
```



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### PM - predicate move-around



#### PM - predicate move-around (1)

- Moves inexpensive predicates into view query blocks in order to perform earlier filtering.
- Generates filter predicates based on
  - transitivity or
  - functional dependencies.
- Filter predicates are moved through SPJ, GROUP BY, DISTINCT views and views with OLAP constructs
- Copies of filter predicates can be moved up, down, and across query blocks.
- Enables <u>new access paths</u> and reduce the size of data that is processed later in more costly operations like joins or aggregations.
- It is performed automatically



#### PM - predicate move-around (2)

```
SELECT v1.k1, v2.q, max1
 FROM (SELECT t1.k AS k1, MAX (t1.a) AS max1
           FROM t1, t2
          WHERE t1.k = 6 AND t1.z = t2.z
       GROUP BY t1.k) 1,
       (SELECT t1.k AS k2) t3.q AS q
         FROM t1, t3
        WHERE t1.y = t3.y AND t3.z > 4) v2
WHERE v1.k1 = v2.k2 AND max1 > 50;
SELECT v1.x, v2.q, max1
 FROM (SELECT t1.k AS k1, MAX (t1.x) AS m
            FROM t1. t2
          WHERE t1.k = 6 AND t1.z = t2.x AND t1.a > 50
       GROUP BY t1.k) v1,
       (SELECT t1.k AS k2, t3.q AS q
         FROM t1, t3
        WHERE t1.y = t3.y AND t3.z > 4 AND t1.k = 6) v2
WHERE v1.k1 = v2.k2;
```



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#### JPPD - join predicate push-down



#### JPPD - join predicate push-down (1)

- Many types of views can not be merged; e.g., views containing UNION ALL/UNION; anti-/semi-joined views; some outer-joined views
- As an alternative, join predicates can be pushed inside unmerged views
- A pushed-down join predicate acts as a correlating condition inside the view and opens up new access paths e.g., index based nestedloop join
- Decision to do JPPD is cost-based



#### JPPD - join predicate push-down (2)



#### JF – Join Factorization



#### JF – Join Factorization

- Purpose:
  - Branches of UNION / UNION ALL that join a common table are combined to reduce the number of accesses to this common table.
- If this transformation is applied then the VW JF\* in the execution plan is a result of the join factorization.



#### JF – Join Factorization

```
(SELECT A1.C1 C1, A2.C2 C2
   FROM JOC.A1 A1, JOC.A2 A2
 WHERE A1.C1 = A2.C3 AND A1.C1 > 1)
UNION ALL
(SELECT A1.C1 C1, A2.C2 C2
   FROM JOC.A1 A1, JOC.A2 A2
 WHERE A1.C1 = A2.C3 AND A1.C1 > 20)
SELECT VW_JF_SEL$906F71F0.C1 C1, VW_JF_SEL$906F71F0.C2 C2
  FROM (SELECT VW_JF_SET$48F2D741.ITEM_2 C1, A2.C2 C2
          FROM ( (SELECT A1.C1 ITEM_1, A1.C1 ITEM_2
                    FROM JOC.A1 A1
                   WHERE A1.C1 > 1
                                                             union all
                UNION ALL
                                                             operation
                (SELECT A1.C1 ITEM_1, A1.C1 ITEM_2
                   FROM JOC. A1 A1
                  WHERE A1.C1 > 20)) VW_JF_SET$48F2D741,
               10C.A2 A2
         WHERE VW_JF_SET$48F2D741.ITEM_1 = A2.C3) VW_JF_SEL$906F71F0
```



### JF – Join Factorization

```
(SELECT A1.C1 C1, A2.C2 C2
  FROM JOC.A1 A1, JOC.A2 A2
 WHERE A1.C1 = A2.C3 AND A1.C1 > 1)
UNION ALL
(SELECT A1.C1 C1, A2.C2 C2
  FROM JOC.A1 A1, JOC.A2 A2
 WHERE A1.C1 = A2.C3 AND A1.C1 > 20)
```

Id   Opera	ation	Name	Rows	Bytes	Cost (	(%CPU)	Time
* 1   HASI   2   VII   3   UI  * 4   -	CT STATEMENT   H JOIN   EW   NION-ALL FABLE ACCESS FULL  BLE ACCESS FULL		10M    10M    2     1   1     5242K	300M  300M  52     2   2   20M	7568 7568 5008 2504 2504 2377	(11)   (8)   (8)   (8)	•

#### Predicate Information (identified by operation id):

```
1 - access("ITEM_1"="A2"."C3")
```

<sup>5 -</sup> filter("A1"."C1">20)



<sup>4 -</sup> filter("A1"."C1">1)

# JE - Join Elimination



# JE - Join Elimination (1)

- Eliminate unnecessary joins if there <u>are constraints defined on join columns</u>. If join has no impact on query results it can be eliminated.
  - e.departmens\_id is foreign key and joined to primary key d.department\_id
- Eliminate unnecessary outer joins doesn't even require primary key foreign key relationship to be defined.



# JE - Join Elimination (2)

Excerpt from CBO trace

```
Considering Join Elimination on query block SEL$1 (#0)
*******
Join Elimination (JE)
******
JE: cfro: EMPLOYEES objn:70291 col#:11 dfro:DEPARTMENTS
   dco1#:11
Query block (26649C50) before join elimination:
SQL:****** UNPARSED QUERY IS ******
SELECT "E"."FIRST_NAME" "FIRST_NAME","E"."LAST_NAME"

"LAST_NAME","E"."SALARY" "SALARY" FROM "HR"."EMPLOYEES"

"E","HR"."DEPARTMENTS" "D" WHERE

"E"."DEPARTMENT_ID"="D"."DEPARTMENT_ID"
JE: eliminate table: DEPARTMENTS
Registered qb: SEL$F7859CDE 0x26649c50 (JOIN REMOVED FROM QUERY BLOCK SEL$1; "D"@"SEL$1")
```



# JE - Join Elimination (3)

#### Purpose of join elimination

- Usually people don't write such "stupid" statements directly
- Such situations are very common when a view is used which contains a join and only a subset of columns is used and therefor a join operation is really not required at all.
- Known Limitations (Source: Optimizer group blog)
  - Multi-column primary key-foreign key constraints are not supported.
  - Referring to the join key elsewhere in the query will prevent table elimination. For an inner join, the join keys on each side of the join are equivalent, but if the query contains other references to the join key from the table that could otherwise be eliminated, this prevents elimination. A workaround is to rewrite the query to refer to the join key from the other table.



#### SJC - Set Join Conversion



#### SJC - Set-Join Conversion

- Conversion of a set operator to a join operator.
- Disabled by default in 11gR2
- To enable it there are three options:

```
- alter session set "_convert_set_to_join"=true;
```

```
- /*+ OPT_PARAM('_convert_set_to_join','true') */
```



# No SJC By Default

select c4 from t1 minus select c2 from t2;

I	:d	Operation		Name	Rows		Bytes	C	ost	(%CPU)	Time
	0	SELECT STATEMENT			1000		6000		8	(63)	00:00:01
	1	MINUS									
	2	SORT UNIQUE			1000		3000		4	(25)	00:00:01
	3	TABLE ACCESS FUL	L  '	T1	1000		3000		3	(0)	00:00:01
	4	SORT UNIQUE			1000		3000		4	(25)	00:00:01
	5	TABLE ACCESS FUL	Ll '	T2	1000	ĺ	3000	Ì	3	(0)	00:00:01



## SJC with OPT PARAM hint

```
select /*+ opt_param('_convert_set_to_join','true') */ x.c4
from t1 x
minus
select y.c4
from t1 y;
```

Id   Operation	Name	Rows	Bytes	Cost (%CPU)	Time
0   SELECT STATEMENT   1   HASH UNIQUE  * 2   HASH JOIN ANTI   3   TABLE ACCESS FULI   4   TABLE ACCESS FULI		3   3   10   1000   1000	18   18   60   3000   3000	8 (25)   7 (15)   3 (0)	00:00:01   00:00:01   00:00:01   00:00:01   00:00:01

```
Predicate Information (identified by operation id):
```

```
2 - access(SYS_OP_MAP_NONNULL("X"."C4")=SYS_OP_MAP_NONNULL("Y"."C4"))
```



## SJC with SET TO JOIN Hint

```
select /*+ SET_TO_JOIN */ x.c4
from t1 x
minus
select y.c4
from t1 y;
```

```
Predicate Information (identified by operation id):
```

```
2 - access(SYS_OP_MAP_NONNULL("X"."C4")=SYS_OP_MAP_NONNULL("Y"."C4"))
```



### SJC in CBO Trace

Excerpt from CBO trace



#### **OBYE - Order BY Elimination**



# OBYE - order by elimination (1)

 OBYE operation eliminates unnecessary order by opertion from the SQL statement



# OBYE - order by elimination (2)

From CBO Trace in 11g; 10gR2 has similar output



CNT - count(col) to count(\*) transformation



# CNT - count(col) to count(\*) transformation

- All rows should have a value and therefore Oracle can simply count the number of rows
- There is no need to actually retrieve the column value.



## CNT - count(col) to count(\*) transformation

```
SQL> alter table t1 add (c2 varchar2(10)); /* nullable col */
SQL> select count(c2) from t1;
```

#### From CBO trace:

```
CNT: Considering count(col) to count(*) on query block SEL$1 (#0)
****************

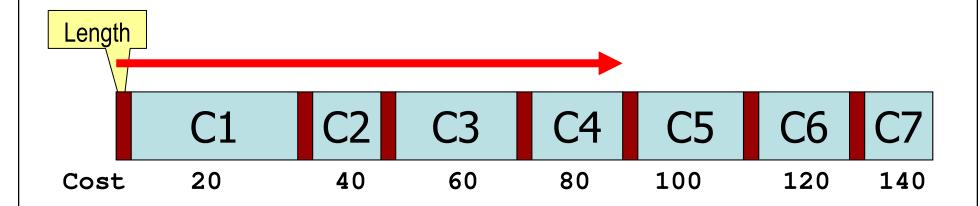
Count(col) to Count(*) (CNT)
*******************

CNT: COUNT() to COUNT(*) not done.
query block SEL$1 (#0) unchanged
```



### CBO's Column Retrieval Cost

- Oracle stores columns in variable length format
- Each row is parsed in order to retrieve one or several columns.
- Each parsed column introduces cost of 20 CPU cycles regardless if it will be extracted or not.





## CNT - count(col) to count(\*) transformation

Comparing the calculated cost from CBO trace file

Without CNT Transformation

```
Cost: 34.4695 Degree: 1 Card: 56229.0000 Bytes: 224916
```

Resc: 34.4695 Resc\_io: 34.0000 Resc\_cpu: 10399260

-With CNT transformation the CPU cost is reduced

```
Cost: 34.4187 Degree: 1 Card: 56229.0000
Resc: 34.4187 Resc_io: 34.0000 Resc_cpu: 9274680
```

 The cost is reduced for 20 CPU cycles per row – Oracle has less work to do - accesses only the row directory and the row header in database block and doesn't need to parse the row data.



# Conclusions



#### Conclusions

- Help CBO by defining all possible constraints. CBO uses them extensively during the SQL statement transformations. Telling more "truth" to CBO usually helps.
- 2. Feed the CBO with accurate statistics, only for complex expressions use dynamic sampling.
- 3. Misestimated cardinality in Cost Based Transformation leads to suboptimal plan.
- 4. Use transformation techniques when rewriting the statement to obtain optimal plan. One can even use **NO\_QUERY\_TRANSFORMATION** hint to disable all transformations.



#### References

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# Thank you for your interest!

Q&A

